



SECTRAS

REGULATORY REPORTING SUITE (RRS)

EFFICIENT PORTFOLIO SOLUTION TO MEET THE REPORTING REQUIREMENTS FOR SECURITIES TRADING IN THE FEDERAL REPUBLIC OF GERMANY

Increasingly stringent supervisory regulations require financial institutions keep continuously up to date with the numerous reporting regulations that must be complied with. Everyone is talking about Basel II, GroMiKV, SolvV, etc. and there are numerous products in the market for implementing the legal requirements. Additional reporting regulations going beyond the investment and risk management of financial institutions are often “forgotten”.

SECTRAS-RRS is a suite that can be composed of individual components and covers the current reporting regulations governing the securities trading of German financial institutions.

Two-way communication with the respective national supervisory authorities

- Federal Financial Supervisory Authority
- Deutsche Bundesbank
- and the Federal Tax Office

is fully automated



SAME PROCESS FLOW FOR ALL COMPONENTS

- Acceptance of data from tertiary systems
- 100 % of the potentially reportable transactions accepted.
- Reporting duty diagnosis
- Recording of reasons for reporting duty exemption
- Extensive plausibility check
- Generation of reportable data
- Conversion of file format into file transfer format
- Automatic data transfer to the respective supervisory authority
- Screen dialogue masks
- View of completed reportable data records
- Manual recording option
- Data maintenance and error correction
- Administration of revision and status information
- Recording of events per reportable record
- Submission of additional information on the recording of data from data sources supplied by tertiary systems
- Automatic input of receipt files
- Process-controlled identification of incorrect/corrupted reportable records

STANDARD INTERFACE FOR ALL COMPONENTS

Each component has its own interface and facilitates:

- Low-cost and easy maintenance
- Capacity utilization within manageable limits
- Integration into operational back office systems

Data can be supplied in

- csv-format and
- XML format.

ALWAYS UP-TO-DATE - WORKSHOPS

Component adaptation to comply with changes in legislation goes hand in hand with workshops with the involved staff. Process flow changes and modifications to the software are explained so that those responsible can use the components efficiently at all times.

FURTHER INFORMATION

You will find additional information on the individual components on separate specification sheets.

